Docket No.

284209US0PCT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mirko KREITSCHMANN, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/07876

GAU:

FILED:

Herewith

EXAMINER:

FOR:

PLASTIC, PARTICULARLY A STERICALLY HINDERED, ESTERIFIED AMINE CONTAINING

**POLYURETHANE** 

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

#### REFERENCES

| The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed |
|---|
| references are attached, where required, as are either statements of relevancy or any readily available English   |
| translations of pertinent portions of any non-English language references.  |

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **RELATED CASES**

| $\Box$ | Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be  |
|--------|--|
|        | related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies    |
|        | of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the |
|        | attached PTO form 1449.  |

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

| Each item of information contained in this information disclosure statement was first cited in any communication    |
|---|
| from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of |
| this statement  |

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

# DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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# 10/567083 IAPS RECUPCTIPTO 03 FEB 2006

| Form PTO 1449            | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |                    |                  | ATTY DOCKET NO. 284209US0PCT  |  | SERIAL NO. New U.S. PCT Application Based on PCT/EP04/07876 |          |   |
|--------------------------|--|--------------------|------------------|---|--|---|----------|---|
| (Modified)               |  |                    |                  |   |  |   |          |   |
|                          |  |                    |                  | APPLICANT   |  |   |          |   |
| LIST OF                  | REFE   | RENÇES CITED BY AI | PPLICANT         | Mirko KREITSCHMANN, et al.  |  |   |          |   |
|                          |  |                    |                  | FILING DATE   |  | GROUP   |          |   |
|                          |  |                    |                  | Herewith  |  |   |          |   |
|                          |  |                    |                  | U.S. PATENT DOCUMENTS   |  |   |          |   |
| EXAMINER<br>INITIAL      |  | DOCUMENT<br>NUMBER | DATE             | NAME  | CLASS  | SUB FILING DATE CLASS IF APPROPRIATE                        |          |   |
|                          | AA   | 6,518,389          | 02/11/03         | KAUFHOLD, Wolfgang et al.   |  |   |          |   |
|                          | AB   | 2001/0053841       | 12/20/01         | KAUFHOLD, Wolfgang et al.   |  |   |          |   |
|                          | AC   | 5,824,738          | 10/20/98         | HUMPHREY, William M. et al.   |  |   |          |   |
|                          | AD   |                    |                  |   |  |   |          |   |
|                          | AE   |                    |                  |   |  |   |          |   |
|                          | AF   |                    |                  |   |  |   |          |   |
|                          | AG   |                    |                  |   |  |   |          |   |
|                          | AH   |                    |                  |   | <del>                                     </del> |   |          | • |
|                          | Al   |                    |                  |   |  |   |          |   |
|                          | AJ   |                    |                  |   |  |   |          |   |
|                          | AK   |                    |                  |   |  |   |          |   |
|                          | AL   |                    |                  |   | <del>                                     </del> |   |          |   |
|                          | AM   |                    |                  |   |  |   |          |   |
|                          | AN   |                    |                  |   |  |   |          |   |
|                          | 711  |                    | FO               | REIGN PATENT DOCUMENTS  |  | <u> </u>  |          |   |
|                          |  |                    | <del></del>      | 1   |  |   |          | LATION                                  |
|                          |  | DOCUMENT<br>NUMBER | DATE             | COUNTRY   |  | TRANSLA<br>YES  |          | NO NO                                   |
|                          | AO   | 101 48 702         | 04/10/03         | DE  |  |   |          | NO                                      |
|                          | AP   |                    |                  |   |  |   |          |   |
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|                          | AT   |                    |                  |   |  |   |          |   |
|                          | AU   |                    |                  |   |  |   |          |   |
|                          | AV   |                    |                  |   |  |   |          |   |
|                          |  | OTHER R            | EFERENCES (      | Including Author, Title, Date, Pertinen   | t Pages, et                                      | tc.)  |          |   |
|                          |  | ZWEIFEL Hans: Plas | tics Additives H | andbook, 5 <sup>th</sup> ed., Hanser Publishers, Pag                            | ges 98 to 1                                      | 36, 2001  |          |   |
|                          | AW   |                    |                  |   | -  |   |          |   |
|                          | AX   |                    |                  |   |  |   |          |   |
|                          | AY   |                    |                  |   |  |   |          |   |
|                          | AZ   |                    |                  |   | Addi   | tional Refe   | rences s | sheet(s) attached                       |
| Examiner Date Considered |  |                    |                  |   |  |   |          |   |
|                          |  |                    |                  | t citation is in conformance with MPEP 60 with next communication to applicant. | 09; Draw lir                                     | ne through  | citation | if not in                               |

New U.S. PCT Application Based on PCT/EP04/07876 Mirko KREITSCHMANN, et al. Docket No. 284209 US

## STATEMENT OF RELEVANCY

- 1) References AA AB & AO have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AC & AW are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.